A Relatively Complete Hoare Logic for Order-Enriched Effects

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Classical Hoare Logic

- Jundments: partial correctness assertions: $\{\varphi\} \; p \; \{\psi\}$ where
 - φ (precondition) and ψ (postcondition) are state-dependent logical assertions;
 - p is a program over the underlying state.
- **Logic**: FOL + (Peano) arithmetic + conventional operations (reading from the memory).
- Semantics: $\llbracket \varphi \rrbracket$, $\llbracket \psi \rrbracket$: $S \to \{0, 1\}$, $\llbracket p \rrbracket$: $S \to S + 1$ where $S = L \to V$ (or $S = L \to V + 1$) is the state.

Given a state $\sigma \in S$:

$$\sigma \models \{\varphi\} \ p \ \{\psi\} \iff (\sigma \models \varphi \implies \exists \sigma' = \llbracket p \rrbracket(\sigma). \ \sigma' \models \psi)$$



Classical Hoare Logic: Some Examples

- $\{x > 1\} x := x + 1 \{x > 2\};$
- always: { \bot } p { ψ } and { φ } p { \top };
- more involved example (factorial):

$$\{x = 1; i := 1\}$$
while (i < n) do
i := i + 1;
x := x * i;
$$\{x = n!\}$$



Classical Hoare Logic: Calculus

(skip)
$$\overline{\{\phi\} \text{ skip } \{\phi\}}$$
 (assign) $\overline{\{\phi[a/x]\} x := a \{\phi\}}$
(seq) $\frac{\{\phi\} p \{\psi\} \ \{\psi\} q \{\xi\}}{\{\phi\} p; q \{\xi\}}$
(if) $\frac{\{\phi \land b\} p \{\psi\} \ \{\phi \land \neg b\} q \{\psi\}}{\{\phi\} \text{ if } b \text{ then } p \text{ else } q \{\psi\}}$
(while) $\frac{\{\phi \land b\} p \{\phi\}}{\{\phi\} \text{ while } b \text{ do } p \ \{\phi \land \neg b\}}$
(weak) $\frac{\phi \Rightarrow \phi' \ \{\phi'\} p \{\psi'\} \ \psi' \Rightarrow \psi}{\{\phi\} p \{\psi\}}$



Classical Hoare Logic: Properties

• Hoare logic as presented is sound:

 $\Gamma_1, \ldots, \Gamma_n \vdash \Gamma$ implies $\Gamma_1, \ldots, \Gamma_n \models \Gamma$.

Proof: routine verification (boring).

- Hore logic is incomplete (!) for ⊨ {⊤} p {⊥} iff p does not terminate (non-r.e.).
- Hoare logic is relatively complete or complete in sense of Cook. That is:

 $\models \{\varphi\} \ p \ \{\psi\} \quad \text{iff} \quad \Phi \vdash \{\varphi\} \ p \ \{\psi\}$

where Φ is the set of all valid assertions.



Classical Hoare Logic: Relative Completeness (1/3)

Weakest precondition $\mathsf{wp}(p,\psi)$ is the weakest assertion such that $\{\mathsf{wp}(p,\psi)\}\ p\ \{\psi\}.$ Therefore:

$$\{\varphi\} \ p \ \{\psi\} \iff (\varphi \Rightarrow \mathsf{wp}(p,\psi))$$

Scheme of the proof:

$$\models \{\phi\} \ p \ \{\psi\}$$

$$\rightsquigarrow \ \models \phi \Rightarrow wp(p, \psi)$$

$$\rightsquigarrow \ \Phi \vdash \phi \Rightarrow wp(p, \psi)$$

$$(1)$$

$$(2)$$

This amounts to the properties:

- Existence and uniquess of **wp** (1).
- Expressiveness: sufficient strength of the assertion logic to characterize **wp** (2).
- Provability of $\{wp(p, \psi)\} p \{\psi\}$ (3).



Classical Hoare Logic: Relative Completeness (2/3)

Weakest precondition can be defined inductively by the clauses:

$$\begin{split} \mathsf{wp}(skip,\psi) &= \psi, \\ \mathsf{wp}(x := a, \psi) &= \psi[a/x], \\ \mathsf{wp}(p;q,\psi) &= \mathsf{wp}(p,\mathsf{wp}(q,\psi)), \\ \mathsf{wp}(\text{if } b \text{ then } p \text{ else } q, \psi) &= (b \Rightarrow \mathsf{wp}(p,\psi)) \land (\neg b \Rightarrow \mathsf{wp}(q,\psi)), \\ \mathsf{wp}(\text{while } b \text{ do } p, \psi) &= \bigwedge_{k \geqslant 0} \xi_k \quad \text{where} \end{split}$$

 $\xi_0 = \textbf{true} \text{ and } \xi_{k+1} = (b \Rightarrow \mathsf{wp}(p,\xi_k)) \land (\neg b \Rightarrow \psi).$

It is provable by induction that this indeed the weakest precondition and $\Phi \vdash \{wp(p, \psi)\} p \{\psi\}.$

Note: the same story can be told in terms of strongest postconditions $sp(p, \phi)$.



Classical Hoare Logic: Gödel's Hack

Note that wp(while b do $p,\psi)$ as given is not expressible in the language.

Let $\beta(x_1, x_2, x_3) = rem(x + 1, 1 + (x_3 + 1) * x_2)$ (Gödel's β -function).

The β -lemma: for any sequence of natural numbers k_1, k_1, \ldots, k_n , there are natural numbers b and c such that, for every $i \leq n$, $\beta(b, c, i) = k_i$.

Hence, a statement $\forall k. \forall n_1, \dots, n_k. \varphi(n_i)$ translates to $\forall k. \forall a, b. \varphi(\beta(b, c, i)).$



Monads Enter

Recall that programs and assertions were interpreted over $S \to S+1$ and $S \to 2$ correspondingly.

Let $TA = S \rightarrow (S \times A) + 1$ (state monad) and $PA = S \rightarrow A + 1$ (reader monad).

Then $\Omega_T = P1 = S \rightarrow 2$ is a boolean algebra.

More examples:

. . .

- TA = A + E (exeptions), PA = A + 1, $\Omega_T = 2$;
- $TA = \mathcal{P}(A)$ (non-determinism), PA = A + 1, $\Omega_T = 2$;

•
$$TA = S \rightarrow \mathcal{P}(S \times A)$$
 (states + exeptions),
 $PA = S \rightarrow A + 1$, $\Omega_T = S \rightarrow 2$.



Monads for Generic Programming

 $\begin{array}{l} \textbf{Strong monad } \mathbb{T} \colon \text{Underlying category } \mathbb{C}, \text{ endofunctor} \\ \mathsf{T} : \mathbb{C} \to \mathbb{C}, \text{ unit: } \eta : Id \to \mathsf{T} \text{ and } \textbf{Kleisli star} \end{array}$

 $_{-}^{\dagger}$: hom(A, TB) \rightarrow hom(TA, TB)

plus strength: $\tau_{A,B} : A \times TB \rightarrow T(A \times B)$.

Metalanguage of effects:

- Type_W ::= $W | 1 | Type_W \times Type_W | T(Type_W)$
- Term construction (Cartesian operators omitted):

 $\begin{array}{ll} \frac{x:A\in\Gamma}{\Gamma\triangleright x:A} & \frac{\Gamma\triangleright t:A}{\Gamma\triangleright f(t):B} & (f:A\to B\in\Sigma) \\ \\ \frac{\Gamma\triangleright t:A}{\Gamma\triangleright \mathsf{rett}:TA} & \frac{\Gamma\triangleright p:TA \quad \Gamma, x:A\triangleright q:TB}{\Gamma\triangleright \mathsf{do} \ x\leftarrow p; q:TB} \end{array}$



Algebraic Operations

Definition: Given $n \in \mathbb{N}$ and a monad \mathbb{T} over \mathcal{C} , a natural transformation $\alpha_X : (TX)^n \to TX$ is an (n-ary) algebraic operation if

$$\alpha \langle \text{do } x \leftarrow p_i; q \rangle_i = \text{do } x \leftarrow \alpha \langle p_i \rangle_i; q$$

Examples include:

- **Exception raising:** one constant throw : $T^0 \rightarrow T$.
- Finite nondeterminism: one constant nil : T⁰ → T and one operation: choice: T² → T. E.g. for 𝒫 monad:

choice(nil, p) = choice(p, nil) = p.

• **States:** $lookup_l : T^V \to T$ and $update_{l,\nu}T \to T$ with $l \in L, \nu \in V$. E.g. for state monad:

 $update_{l,\nu}\big(lookup_l\langle p_1,\ldots,p_{|V|}\rangle\big)=update_{l,\nu}(p_\nu).$



Generic Effects

Under mild assumptions algebraic operations are in one-to-one correspondence with generic effects, i.e. morphisms from hom(A, TB) [Plotkin and Power, 2001].

Algebraic operations	Generic effects
$\label{eq:constraint} \begin{array}{c} \text{lookup}: T^V \rightarrow T^L,\\ \text{update}: T \rightarrow T^{L \times V} \end{array}$	$\begin{array}{c} get: L \rightarrow TV, \\ put: L \times V \rightarrow T1 \end{array}$
$\label{eq:nil:T0} \begin{array}{c} \text{nil}: T^0 \rightarrow T^1, \\ \text{choice}: T^2 \rightarrow T \end{array}$	$\begin{array}{c} \text{nil}_{0}: 1 \rightarrow \text{TO,} \\ \text{coin}: 1 \rightarrow \text{T2} \end{array}$
throw : $T^0 \rightarrow T^E$	$throw_0: E \to T0$

Notably exception handling is not algebraic.



Fixpoint Computations and Order-Enrichment

Let 2 = 1 + 1, with C being distributive. We are targeting

(while) $\frac{\Gamma, x : A \rhd \varphi : 2 \quad \Gamma \rhd p : TA \quad \Gamma, x : A \rhd q : TA}{\Gamma \rhd \operatorname{init} x \leftarrow p \text{ while } \varphi \text{ do } q : TA}$

Definition: A strong monad \mathbb{T} over \mathcal{C} is order-enriched if the following conditions hold.

- = Every hom(A, TB) carries a partial order \sqsubseteq , with a bottom \perp
- Every hom(A, TB) has joins of all directed subsets and has joins of all f, g such that f ⊑ h, g ⊑ h for some h.
- For any $h \in hom(A', A)$ and any $u \in hom(B \times C, TB')$
 - $f\mapsto f\circ h, \qquad f\mapsto u^{\dagger}\circ f, \qquad f\mapsto \tau\langle id,f\rangle.$

preserve all existing joins (including ot).

Kleisli star is Scott-continuous, i.e. if $\{f_i \mid i \in I\}$ is a directed subset of hom(A, TB), then $| |f_i^{\dagger} = (| |f_i)^{\dagger}$.



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Innocence

Definition: Given an order-enriched monad \mathbb{T} ,

- Two programs p and q commute if do $x \leftarrow p; y \leftarrow q; \mathsf{ret}\langle x, y \rangle = \mathsf{do} \ y \leftarrow p; x \leftarrow q; \mathsf{ret}\langle x, y \rangle$
- a program p is copyable w.r.t. \mathbb{T} if do $x \leftarrow p$; $y \leftarrow p$; ret $\langle x, y \rangle = do x \leftarrow p$; ret $\langle x, x \rangle$;
- a program p is weakly discardable w.r.t. T if do y ← p; ret ★ ⊑ ret ★;
- T is innocent if it is commutative and any program over it is weakly discardable and copyable.

In Nutshell: Innocent monads capture relatively well-behaved computations, but possibly non-terminating.



Innocent Monad for Assertions

Examples:

• Every enriched monad has the partiality monad as the smalles innocent monad.

• The (partial) reader monad $\mathsf{P} A=S\to A+1$ is innocent. Theorem: Given an innocent monad $\mathbb{P},$

1. For any two programs p : P1 and q : P1,

$$p \sqcap q = do p; q = do q; p.$$

The object P1 carries a complete Heytling algebra whose underlying distributive lattice structure (δ, υ, ε, ρ) agrees with the order-enrichment as follows: ⊥_{A,1} = δ ∘ !_A, f ⊔ g = υ ∘ ⟨f, g⟩, ⊤_{A,1} = ε ∘ !_A, f ⊓ g = ρ ∘ ⟨f, g⟩.



A Simple Imperative Metalanguage

$$\begin{array}{l} \text{(var)} \ \frac{x:A \in \Gamma}{\Gamma \rhd x:A} \ \text{(op)} \ \frac{f:A \to B \in \Sigma \quad \Gamma \rhd t:A}{\Gamma \rhd f(t):B} \ \text{(1)} \ \frac{\Gamma \rhd \star :1}{\Gamma \rhd \star :1} \\ \text{(pair)} \ \frac{\Gamma \rhd t:A \quad \Gamma \rhd u:B}{\Gamma \rhd \langle t,u \rangle :A \times B} \ \text{(pr_1)} \ \frac{\Gamma \rhd t:A \times B}{\Gamma \rhd pr_1 t:B} \ \text{(pr_2)} \ \frac{\Gamma \rhd t:A \times B}{\Gamma \rhd pr_2 t:B} \\ \text{(0)} \ \frac{1}{\Gamma \rhd 0:2} \ \text{(1)} \ \frac{\Gamma \rhd 1:2}{\Gamma \rhd 1:2} \ \text{(if)} \ \frac{\Gamma \rhd b:2 \quad \Gamma \rhd s:A \quad \Gamma \rhd t:A}{\Gamma \rhd if b then s else t:A} \\ \text{(do)} \ \frac{\Gamma \rhd p:TA \quad \Gamma, x:A \rhd q:TB}{\Gamma \rhd \text{ do } x \leftarrow p; q:TB} \ \text{(ret)} \ \frac{\Gamma \rhd p:A}{\Gamma \rhd ret p:TA \land x:A \rhd q:TA} \\ \text{($$)} \ \frac{\Gamma \rhd p:TA}{\Gamma \rhd p:TA} \ \text{(while)} \ \frac{\Gamma \rhd \varphi:2 \quad \Gamma \rhd p:TA \quad \Gamma, x:A \rhd q:TA}{\Gamma \rhd init x \leftarrow p while \phi \text{ do } q:TA} \\ \end{array}$$



Assertions

$$\begin{array}{c} (\top) \ \ \overline{\Gamma \rhd \top : \Omega_{T}} & (\land) \ \ \overline{\Gamma \rhd \varphi : \Omega_{T} \quad \Gamma \rhd \psi : \Omega_{T}} & (\exists) \ \ \overline{\Gamma \rhd \exists x. \varphi : \Omega_{T}} \\ (\bot) \ \ \overline{\Gamma \rhd \bot : \Omega_{T}} & (\land) \ \ \overline{\Gamma \rhd \varphi : \Omega_{T} \quad \Gamma \rhd \psi : \Omega_{T}} \\ (\bot) \ \ \overline{\Gamma \rhd \bot : \Omega_{T}} & (\checkmark) \ \ \overline{\Gamma \rhd \varphi : \Omega_{T} \quad \Gamma \rhd \psi : \Omega_{T}} \\ (\Rightarrow) \ \ \overline{\Gamma \rhd \varphi : \Omega_{T} \quad \Gamma \rhd \psi : \Omega_{T}} \\ (\Rightarrow) \ \ \overline{\Gamma \rhd \varphi : \Omega_{T} \quad \Gamma \rhd \psi : \Omega_{T}} \\ (cast) \ \ \overline{\Gamma \rhd \varphi : \Lambda \quad \Gamma, x: A \rhd \varphi : \Omega_{T}} \\ (cast) \ \ \overline{\Gamma \rhd \varphi : \Lambda \quad \Gamma, x: A \rhd \varphi : \Omega_{T}} \\ (\land) \ \ \ \overline{\Gamma \rhd \varphi : \Lambda \quad \Gamma, x: A \rhd \varphi : \Omega_{T}} \\ (\land) \ \ \ \overline{\Gamma \rhd \varphi : \Lambda \quad \Gamma \rhd \varphi : \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \lambda x. t: A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rhd \mu X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T}} \\ (\mu) \ \ \overline{\Gamma \rho \neg X. \varphi : A \rightarrow \Omega_{T$$



Hoare Logic

We define global judjments $[x \leftarrow p] \varphi$ by the equivalence:

$$[x \leftarrow p] \varphi \iff (\mathsf{do} \ x \leftarrow p; \varphi; \mathsf{ret} \, x) = p.$$

Then let $\{\varphi\}x \leftarrow p\{\psi\} = [\varphi; x \leftarrow p]\psi$.

Some rules:

$$\begin{array}{c} \{\varphi\} \ x \leftarrow p \ \{\psi\} \\ \{\varphi\} \ x \leftarrow p \ \{\chi\} \\ \hline \{\varphi\} \ x \leftarrow p \ \{\chi\} \\ \hline \{\varphi\} \ x \leftarrow p \ \{\chi\} \\ \hline \{\varphi\} \ x \leftarrow p \ \{\psi \land \chi\} \\ \hline \{\psi\} \ x \leftarrow p \ \{\psi \land \chi\} \\ \hline \{\psi\} \ y \leftarrow q \ \{\chi\} \\ \hline \{\psi\} \ y \leftarrow q \ \{\chi\} \\ \hline \{\psi\} \ y \leftarrow q \ \{\chi\} \\ \hline \{\psi\} \ x \leftarrow p \ \{\chi\} \ x \leftarrow p \ \{\psi\} \ x \leftarrow p \ \{\chi\} \ x \leftarrow p \ \{\psi\} \ x \leftarrow p \ \{\chi\} \ x \leftarrow p \ \{\psi\} \ x \leftarrow p \ \{\chi\} \ x \leftarrow p \ \{\psi\} \ x \leftarrow p \ \{\chi\} \ x \leftarrow p \ x \leftarrow$$



Relative Completeness (1/2)

Let us define the weakest precondition:

$$wp(y \leftarrow q, \psi) = \bigsqcup \{ \varphi \mid \{\varphi\} \ y \leftarrow q \ \{\psi\} \}.$$

The desirable properties are:

$$\mathsf{wp}_1. \ \mathsf{wp}(\mathsf{x} \leftarrow \mathsf{ret}\,\mathsf{t}, \psi) \iff \psi[\mathsf{t}/\mathsf{x}].$$

wp₂. $wp(x \leftarrow f(t), \psi) \iff wp(x \leftarrow f(z), \psi)[t/z]$, with z — any fresh variable

$$wp_{3}. wp(x \leftarrow (\mathsf{do} \ y \leftarrow p; q), \psi) \iff wp(y \leftarrow p, wp(x \leftarrow q, \psi))$$

$$\begin{array}{l} \mathsf{wp}_{4}. \ \mathsf{wp}(\mathsf{x} \leftarrow (\mathsf{if} \ \mathsf{b} \ \mathsf{then} \ \mathsf{p} \ \mathsf{else} \ \mathsf{q}), \psi) \iff \\ (\mathsf{b}? \Rightarrow \mathsf{wp}(\mathsf{x} \leftarrow \mathsf{p}, \psi)) \land (\bar{\mathsf{b}}? \Rightarrow \mathsf{wp}(\mathsf{x} \leftarrow \mathsf{q}, \psi)) \end{array}$$

wp₅. wp(x
$$\leftarrow$$
 (while b do x \leftarrow p), ψ) \iff
vX.($\lambda x.b$? \Rightarrow wp(x \leftarrow p, X(x)) $\land \bar{b}$? $\Rightarrow \psi$)(x)

Theorem: If wp_3 holds (let call it expressiveness) then so do the remaining properties.



Theorem (Relative Completeness): Let \mathbb{T} be and enriched monad; let \mathbb{P} be an innocent submonad of it. Suppose

- 1. \mathbb{P} is expressive w.r.t. \mathbb{T} ;
- 2. for every $f: A \to TB \in \Sigma_T$ and every assertion ϕ the weakest precondition $wp(x \leftarrow f(y), \psi)$ can be represented by a formula of the assertion language.

Then $\mathbb{T}, \mathbb{P} \models \{\varphi\} x \leftarrow p \{\psi\} \text{ iff } \Phi \cup \Delta \vdash \{\varphi\} x \leftarrow p \{\psi\} \text{ where }$

- Φ is the set of all assertions valid in \mathbb{P} ;
- Δ is the set of formulas

$$\{wp(x \leftarrow f(y), \psi)\} \ x \leftarrow p \ \{\psi\}.$$



Troubles (1/3)

What exactly expressiveness amounts to?

Let us introduce the strongest postcondition as follows:

$$sp(x, q) = \bigcap \{ \varphi \mid [x \leftarrow q] \varphi \}.$$

Lemma: Expressiveness is equivalent to any of the following conditions:

$$\mathsf{a} \big) \; [x \leftarrow (\mathsf{do} \; y \leftarrow p; q)] \psi \implies [y \leftarrow p] w p (x \leftarrow q, \psi),$$

b)
$$[x \leftarrow (do \ y \leftarrow p; q)]\psi \implies (sp(y, p) \implies wp(x \leftarrow q, \psi)),$$

c)
$$\{sp(y,p)\} x \leftarrow q \{sp(x, do y \leftarrow p; q)\}.$$

Lemma: If the innocent submonad is the partiality monad then expressiveness is equivalent to

$$sp(y,p) \wedge sp(x,q) \implies sp(x,\text{do } y \leftarrow p;q).$$



Troubles (2/3)

Monads can be given by equational theories: TA — set of terms over variables from A, ret x — variable as term, binding — substitution. E.g. finite powerset:

 $\mathbf{a} + (\mathbf{b} + \mathbf{c}) = (\mathbf{a} + \mathbf{b}) + \mathbf{c}$

$$a + b = b + a$$
 $a + a = a + 0 = 0 + a = a$

Definition: an equational theory is regular if every equation of it has the variables occurring on the left- and right-hand sides.

Lemma: if \mathbb{T} is given by a regular theory partiality monad is expressive w.r.t. it.

Non-example: abelian group monad is non-regular (it has an equation x - x = 0) and partiality monad is not expressive w.r.t. it.

Conjecture: partiality monad is expressive w.r.t. \mathbb{T} iff \mathbb{T} is given by a regular theory.



Troubles (3/3)

How to express $wp(x \leftarrow f(y), \varphi)$ by a formula?

For the state monad:

- $wp(x \leftarrow get(l), \phi) = do x \leftarrow get(l); \phi.$
- In case of static locations: wp(put(ν, l), φ) = φ' with φ' obtained from φ by replacing every get(l) with ret ν.
 Otherwise:

 $wp(put(v, l), \mu X.\lambda x.(get(x) = nil \lor do x \leftarrow get(x); X(x))(l)) =?$



More Troubles

Consider the subdistribution monad:

$$\mathsf{TA} = \{ d: \mathsf{A} \to [\mathsf{0},\mathsf{1}] \mid \sum_{\mathsf{x}} d(\mathsf{x}) \leqslant \mathsf{1} \}$$

It has a parametrised generic effect $coin_p$: T2. This is not copyable:

do
$$x \leftarrow \operatorname{coin}_p; y \leftarrow \operatorname{coin}_p; \operatorname{ret}\langle x, y \rangle$$

$$= [(1,1) \mapsto p * p, (1,2) \mapsto p * (1-p), (2,1) \mapsto p * (1-p), (2,2) \mapsto (1-p) * (1-p)]$$
do $x \leftarrow \operatorname{coin}_p; \operatorname{ret}\langle x, x \rangle$

$$= [(1,1) \mapsto p, (2,2) \mapsto (1-p)]$$

Hence, the only innocent submonad is the partiality monad. Therefore, e.g. $sp(x, coin_{1/3}) = (x = 1) \lor (x = 2)$ whereas it had better be something like $x = 1 \oplus_{1/3} x = 2$.



Further Work

- Resolve the troubles.
- Do more case study: local states, quantum computations.
- Come up with a monadic treatment of probabilistic computations.



The End

Thanks for your attention!



Gordon Plotkin and John Power. Adequacy for algebraic effects. In *Foundations of Software Science and Computation Structures, FoSSaCS 2001*, volume 2030 of *LNCS*, pages 1–24. Springer, 2001.

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